


## Memorandum

To: Han Wang, Finance Budget Analyst  
Department of Finance

Through: Samar Muzaffar, Assistant Secretary  
California Health and Human Services Agency

From: Armel Biscocho, Deputy Director  
Administrative Services 

Date: April 10, 2020

Subject: May Revision 2020 Subvention Tables

The Department of Rehabilitation is submitting the attached tables and narrative for the May Revision 2020.

If you have any questions on the information presented in the package, please contact Rowena Okada at (916) 322-9490 or by e-mail at [Rowena.Okada@dor.ca.gov](mailto:Rowena.Okada@dor.ca.gov).

Thank you.

Enclosed: Attachments

CC: David Kwan, Chief  
Financial Management Branch



Employment, Independence & Equality



# May Revision 2020

**PREPARED BY**

Department of Rehabilitation  
Administrative Services Division  
Budgets, Fiscal Forecasting and Research Section

# DEPARTMENT OF REHABILITATION

May Revision

2020

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## **Future Issues and Assumptions**

### **SFY 2020-21 FUTURE ISSUES**

#### Conforming Actions

- None

### **SFY 2020-21 ASSUMPTIONS**

#### New Assumptions

- None

#### History of Discontinued Assumptions

- None

## **Vocational Rehabilitation Program Narrative**

### **DESCRIPTION**

The Vocational Rehabilitation Program (VR) is administered through DOR's Vocational Rehabilitation Service Delivery teams which are comprised of qualified rehabilitation professionals, business specialists, service coordinators and support staff who assist individuals with disabilities in preparing for, entering into, and retaining competitive employment in integrated work settings and to live independently in their communities.

The VR Program funding is provided by a grant awarded under Title IV, Section 411 of the federal Workforce Innovation and Opportunity Act (WIOA) and provides 78.7% federal grant funds, with the remaining 21.3% provided by state or other matching funds. The VR Program is not an entitlement program. Consumers are provided services within the amount of funds available and limited by the federal grant and the amount of state General Fund and other matching funds available. VR services are provided to over 100,000 VR consumers and Potentially Eligible (PE) participants each year.

Furthermore, DOR operates under a federal Order of Selection (OOS) process, which gives priority for services to persons with the most significant disabilities. DOR has been operating under an OOS process since September 1995, and has twice ceased delivery of services to new eligible applicants for extended periods of time due to lack of sufficient funds. Since July 1999, DOR has been able to maintain stable service levels to Priority Category One (Individuals with Most Significant Disabilities) and Priority Category Two (Individuals with Significant Disabilities). Additionally, Priority Category Three consumers (Individuals with Disabilities), who applied on or before August 31, 2019, including individuals who were on the Waiting List, are being served.

The DOR projects that the current level of staffing and funding may be insufficient to provide counseling and support to all Californians who seek DOR services.

## **IMPLEMENTATION DATE**

- This premise was implemented on October 1, 1963 in accordance with Chapter 1747, Statutes of 1963.

## **KEY DATA / ASSUMPTIONS**

- Federal authorizing statute - Subtitle B of Title IV of WIOA (Public Law 113-128) dated July 22, 2014.
- State authorizing statute - Welfare and Institutions Code, Division 10, commencing with Section 19000 - 19856.

## **METHODOLOGY**

- The total caseload was projected by using three years of historical VR consumer data, current year and prior year potentially eligible participant data, and a trend analysis to determine future caseload. The expenditures were projected using the caseload forecast and historical expenditure data.

## **FUNDING**

- This program is funded with Federal Funds, General Fund and other matching funds.

## TOTAL EXPENDITURES

(in 000's)

	<b>May Revision 2020</b>	<b>May Revision 2019</b>	<b>Change</b>
<b>Type of Funding</b>	<b>FY 20-21</b>	<b>FY 19-20</b>	<b>FY 20-21</b>
TOTAL	\$186,912	\$186,434	\$478
Federal	\$149,359	\$148,724	\$635
General	\$ 30,508	\$ 30,030	\$478
Other	\$ 7,045	\$ 7,680	(\$635)

**Department of Rehabilitation  
Vocational Rehabilitation (VR) Program**

**Section C  
EXPENDITURE TABLES**

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**DEPARTMENT OF REHABILITATION VOCATIONAL REHAB. (VR) PROGRAM 10**  
**C. EXPENDITURE TABLE 1** (\$ in thousands)

Budget Item	2019-20 May Revise					2019-20 Governor's Budget					DIFFERENCES				
	Total	Federal	State	Reimb.	Cert. Time	Total	Federal	State	Reimb.	Cert. Time	Total	Federal	State	Reimb.	Cert. Time
5160-001-0001															
<b>Case Service Total</b>	<b>186,434</b>	<b>148,724</b>	<b>30,030</b>	<b>7,680</b>	<b><u>[20,725]</u></b>	<b>182,557</b>	<b>145,324</b>	<b>29,553</b>	<b>7,680</b>	<b><u>[20,725]</u></b>	<b>3,877</b>	<b>3,400</b>	<b>477</b>	<b>0</b>	<b>0</b>

The certified time is in brackets and underlined, and represents numbers that are not included in the total.

Certified time match is the dollar value of personal services and operating expenses generated by contractors, and used as match for the federal grant

**Case Service Types**

VR Base Programs <sup>A</sup>	131,934	101,904	30,030	0	0	128,057	98,504	29,553	0	0	3,877	3,400	477	0	0
Cooperative Contracts (COOP) <sup>B</sup>	54,500	46,820	0	7,680	<u>[20,725]</u>	54,500	46,820	0	7,680	<u>[20,725]</u>	0	0	0	0	0

A = Funds include the following: Federal Funds and General Fund.

B = Funds include the following: Federal Funds, Reimbursements and Certified Time.

**DEPARTMENT OF REHABILITATION VOCATIONAL REHAB. (VR) PROGRAM 10**  
**C. EXPENDITURE TABLE 2** (\$ in thousands)

Budget Item	2020-21 May Revise					2020-21 Governor's Budget					DIFFERENCES				
	Total	Federal	State	Reimb.	Cert. Time	Total	Federal	State	Reimb.	Cert. Time	Total	Federal	State	Reimb.	Cert. Time
5160-001-0001															
<b>Case Service Total</b>	<b>186,912</b>	<b>149,359</b>	<b>30,508</b>	<b>7,045</b>	<b><u>[20,725]</u></b>	<b>186,434</b>	<b>148,724</b>	<b>30,030</b>	<b>7,680</b>	<b><u>[20,725]</u></b>	<b>478</b>	<b>635</b>	<b>478</b>	<b>(635)</b>	<b>0</b>

The certified time is in brackets and underlined, and represents numbers that are not included in the total.

Certified time match is the dollar value of personal services and operating expenses generated by contractors, and used as match for the federal grant

**Case Service Types**

VR Base Programs <sup>A</sup>	132,412	101,904	30,508	0	0	131,934	101,904	30,030	0	0	478	0	478	0	0
Cooperative Contracts (COOP) <sup>B</sup>	54,500	47,455	0	7,045	<u>[20,725]</u>	54,500	46,820	0	7,680	<u>[20,725]</u>	0	635	0	(635)	0

A = Funds include the following: Federal Funds and General Fund.

B = Funds include the following: Federal Funds, Reimbursements and Certified Time.

**DEPARTMENT OF REHABILITATION VOCATIONAL REHAB. (VR) PROGRAM 10**  
**C. EXPENDITURE TABLE 3** (\$ in thousands)

Budget Item	2020-21 May Revise					2019-20 May Revise					DIFFERENCES				
	Total	Federal	State	Reimb.	Cert. Time	Total	Federal	State	Reimb.	Cert. Time	Total	Federal	State	Reimb.	Cert. Time
5160-001-0001															
<b>Case Service Total</b>	<b>186,912</b>	<b>149,359</b>	<b>30,508</b>	<b>7,045</b>	<b><u>[20,725]</u></b>	<b>186,434</b>	<b>148,724</b>	<b>30,030</b>	<b>7,680</b>	<b><u>[20,725]</u></b>	<b>478</b>	<b>635</b>	<b>478</b>	<b>(635)</b>	<b>0</b>

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Certified time match is the dollar value of personal services and operating expenses generated by contractors, and used as match for the federal grant

**Case Service Types**

VR Base Programs <sup>A</sup>	132,412	101,904	30,508	0	0	131,934	101,904	30,030	0	0	478	0	478	0	0
Cooperative Contracts (COOP) <sup>B</sup>	54,500	47,455	0	7,045	<u>[20,725]</u>	54,500	46,820	0	7,680	<u>[20,725]</u>	0	635	0	(635)	0

A = Funds include the following: Federal Funds and General Fund.

B = Funds include the following: Federal Funds, Reimbursements and Certified Time.

**Department of Rehabilitation  
Vocational Rehabilitation (VR) Program**

**Section D  
FUNDING GRAPHS**

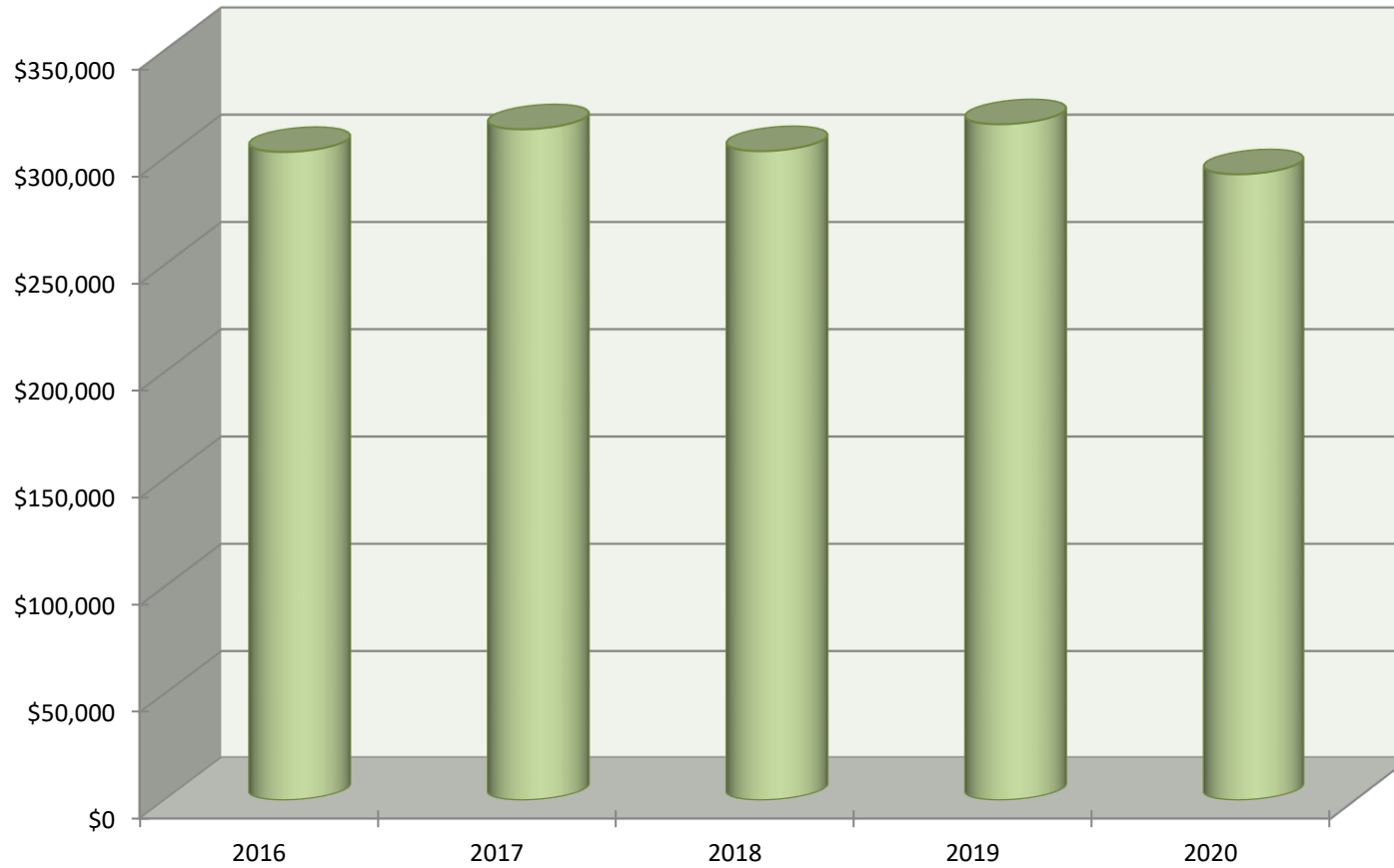
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- Graph 2. Total Case Service Budget, by Funding Source
- Graph 3. VR Base Programs Case Service, by Funding Source
- Graph 4. Cooperative Contracts Case Service, by Funding Source

**D. Funding  
Graph 1**

**Federal Title IV (Subtitle B) Grant  
by Federal Fiscal Year**

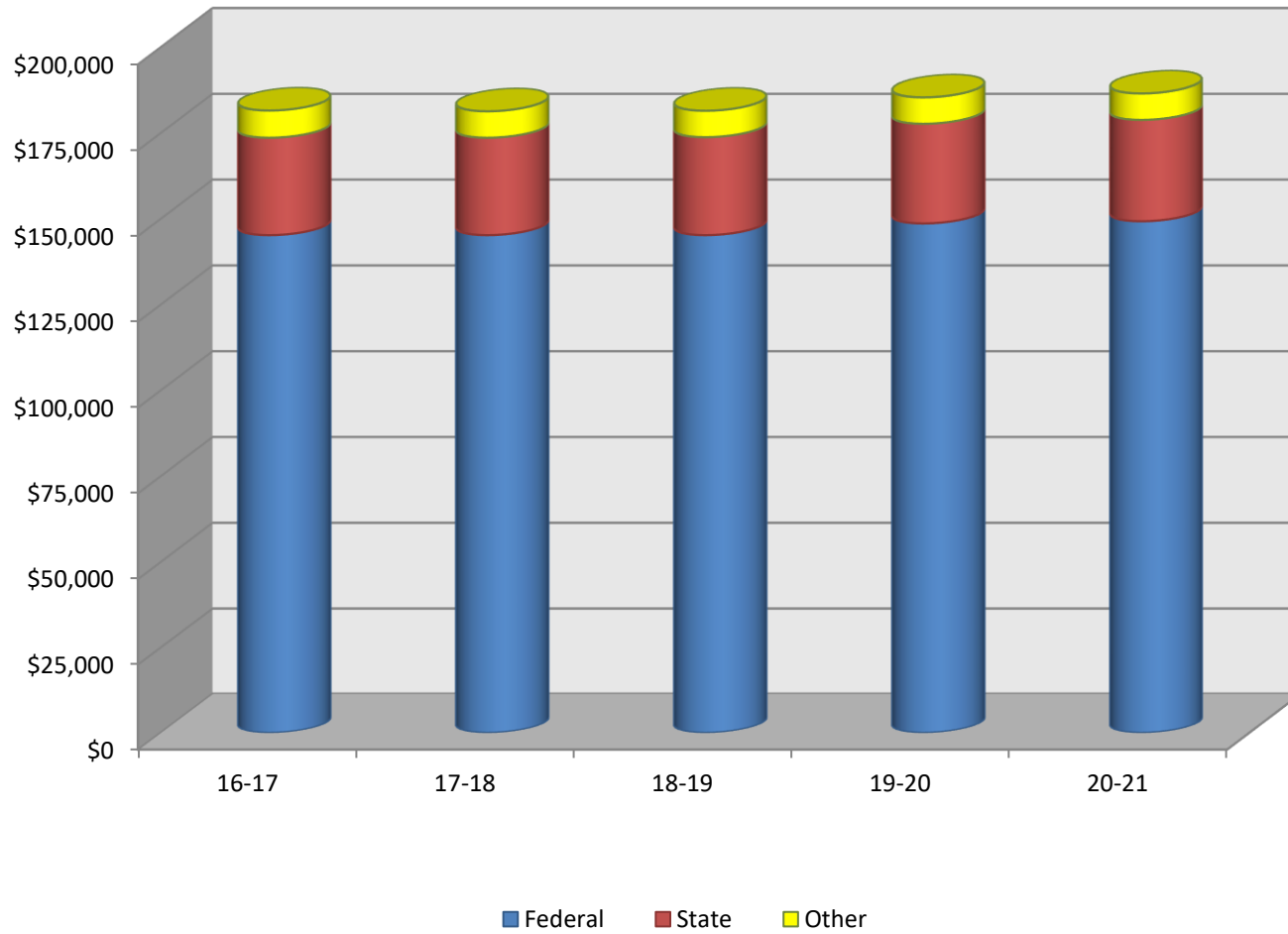
(\$ X 1,000)



**D. Funding  
Graph 2**

**Total Case Service Budget  
by Funding Source**

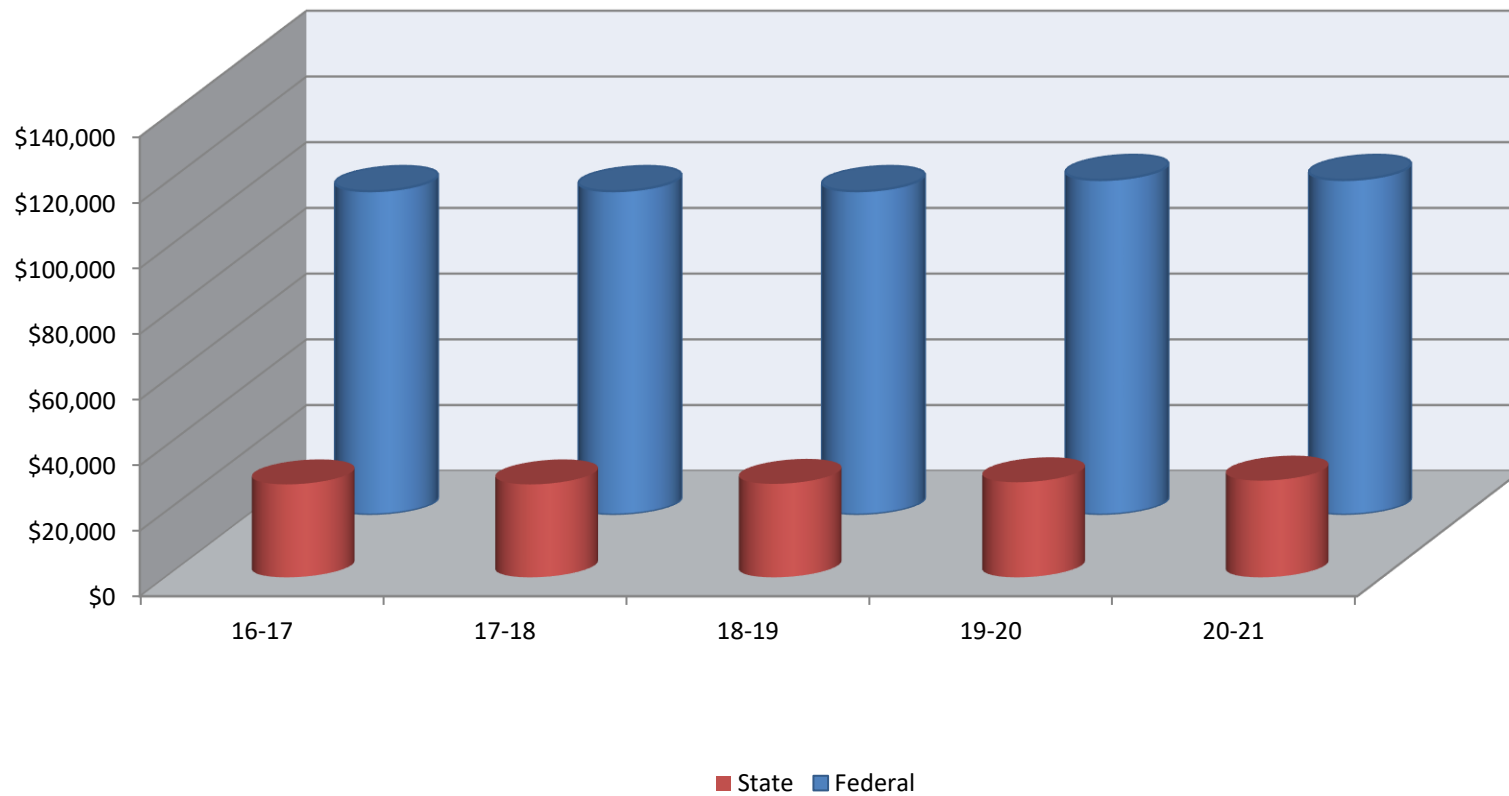
(\$ X 1,000)



**D. Funding  
Graph 3**

(\$ x 1,000)

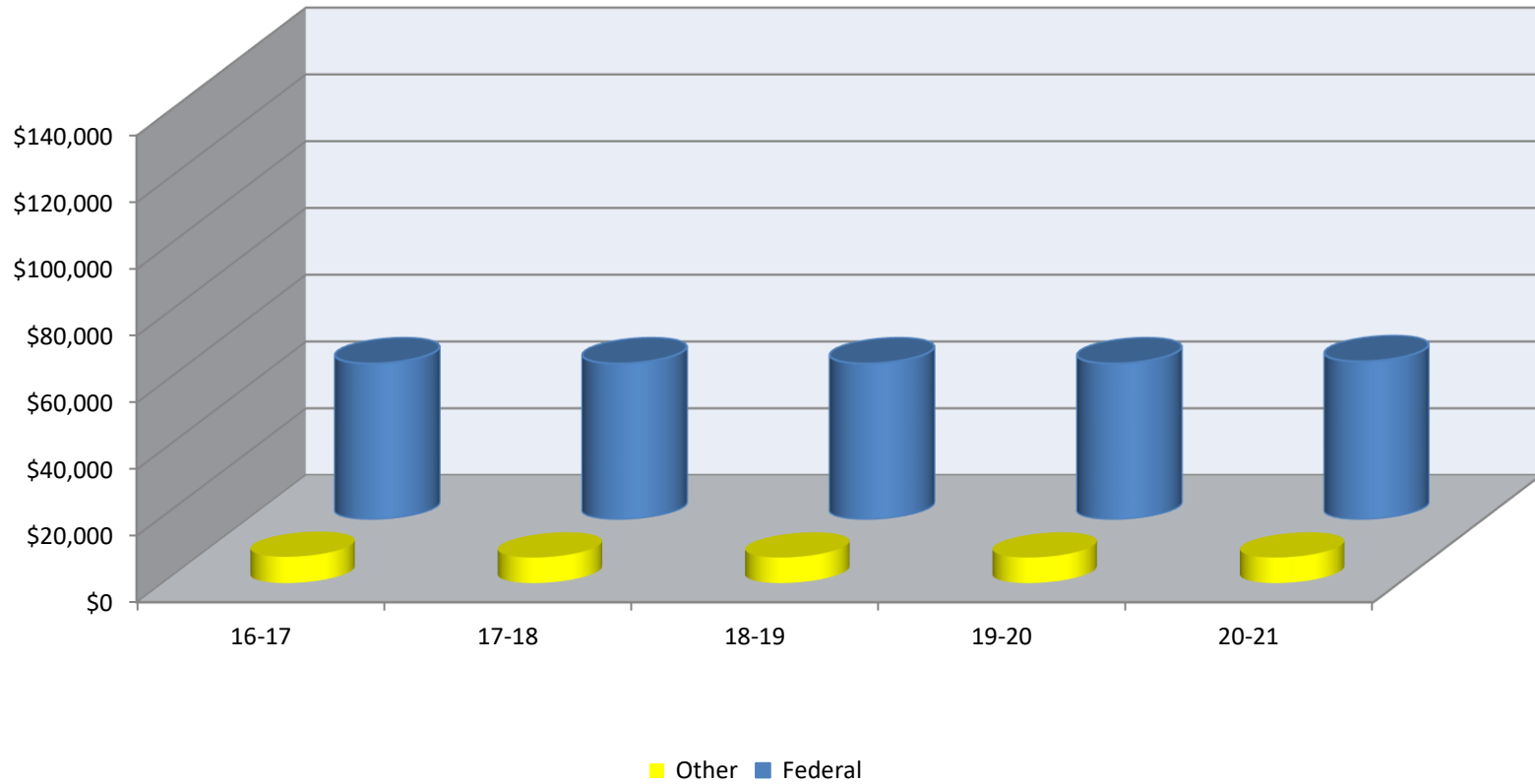
**VR Base Programs Case Service  
by Funding Source**



**D. Funding  
Graph 4**

**Cooperative Contracts Case Service  
by Funding Source**

(\$ x 1,000)





**Department of Rehabilitation  
Vocational Rehabilitation (VR) Program**

**Section E  
CASELOAD TABLES**

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2020-21 VR Governor's Budget
  
- Table 3. 2020-21 VR May Revision to  
2019-20 VR May Revision

**DEPARTMENT OF REHABILITATION VOCATIONAL REHAB. (VR) PROGRAM 10  
E. CASELOAD TABLE 1**

VR Program	2019-20 May Revise				2019-20 Governor's Budget				CHANGE			
	Total Cases Served	June 30 Caseload	New Plans	Success. Closures	Total Cases Served	June 30 Caseload	New Plans	Success. Closures	Total Cases Served	June 30 Caseload	New Plans	Success. Closures
<b>Caseload Total</b>	108,000	70,271	23,567	12,081	100,000	69,900	26,600	13,073	8,000	371	(3,033)	(992)
<b>VR Consumers</b>	90,000	56,771	23,567	12,081	98,000	68,000	26,600	13,073	(8,000)	(11,229)	(3,033)	(992)
<b>PE Participants</b>	18,000	13,500	-	-	2,000	1,900	-	-	16,000	11,600	-	-

**Caseload Types**

VR Base Programs	76,680	49,892	16,733	8,578	71,000	49,629	18,886	9,282	5,680	263	(2,153)	(704)
Cooperative Contracts	31,320	20,379	6,834	3,503	29,000	20,271	7,714	3,791	2,320	108	(880)	(288)

Total Cases Served is a count of all consumers that received services in VR programs during the fiscal year.

June 30 Caseload is the total number of consumers that are projected to be receiving VR services as of that date.

New Plans is the number of consumers who had individual plans for employment developed during the fiscal year.

Successful Closure is the number of consumers who have maintained stable employment for a minimum of 90 days whose cases have been closed.

**DEPARTMENT OF REHABILITATION VOCATIONAL REHAB. (VR) PROGRAM 10  
E. CASELOAD TABLE 2**

VR Program	2020-21 May Revise				2020-21 Governor's Budget				CHANGE			
	Total Cases Served	June 30 Caseload	New Plans	Success. Closures	Total Cases Served	June 30 Caseload	New Plans	Success. Closures	Total Cases Served	June 30 Caseload	New Plans	Success. Closures
<b>Caseload Total</b>	<b>108,000</b>	<b>70,271</b>	<b>23,567</b>	<b>10,000</b>	<b>108,000</b>	<b>70,271</b>	<b>23,567</b>	<b>12,081</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(2,081)</b>
<b>VR Consumers</b>	<b>83,000</b>	<b>50,271</b>	<b>23,567</b>	<b>10,000</b>	<b>90,000</b>	<b>56,771</b>	<b>23,567</b>	<b>12,081</b>	<b>(7,000)</b>	<b>(6,500)</b>	<b>-</b>	<b>(2,081)</b>
<b>PE Participants</b>	<b>25,000</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>18,000</b>	<b>13,500</b>	<b>-</b>	<b>-</b>	<b>7,000</b>	<b>6,500</b>	<b>-</b>	<b>-</b>

**Caseload Types**

VR Base Programs	76,680	49,892	16,733	7,100	76,680	49,892	16,733	8,578	0	0	0	(1,478)
Cooperative Contracts	31,320	20,379	6,834	2,900	31,320	20,379	6,834	3,503	0	0	0	(603)

Total Cases Served is a count of all consumers that received services in VR programs during the fiscal year.

June 30 Caseload is the total number of consumers that are projected to be receiving VR services as of that date.

New Plans is the number of consumers who had individual plans for employment developed during the fiscal year.

Successful Closure is the number of consumers who have maintained stable employment for a minimum of 90 days whose cases have been closed.

Of the 108,000 total cases, 35,000 will be Students with Disabilities consumers which includes 25,000 Potentially Eligible participants.

**DEPARTMENT OF REHABILITATION VOCATIONAL REHAB. (VR) PROGRAM 10  
E. CASELOAD TABLE 3**

VR Program	2020-21 May Revise				2019-20 May Revise				CHANGE			
	Total Cases Served	June 30 Caseload	New Plans	Success. Closures	Total Cases Served	June 30 Caseload	New Plans	Success. Closures	Total Cases Served	June 30 Caseload	New Plans	Success. Closures
<b>Caseload Total</b>	108,000	70,271	23,567	10,000	108,000	70,271	23,567	12,081	-	-	-	(2,081)
<b>VR Consumers</b>	83,000	50,271	23,567	10,000	90,000	56,771	23,567	12,081	(7,000)	(6,500)	-	(2,081)
<b>PE Participants</b>	25,000	20,000	-	-	18,000	13,500	-	-	7,000	6,500	-	-

**Caseload Types**

VR Base Programs	76,680	49,892	16,733	7,100	76,680	49,892	16,733	8,578	0	0	0	(1,478)
Cooperative Contracts	31,320	20,379	6,834	2,900	31,320	20,379	6,834	3,503	0	0	0	(603)

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**Department of Rehabilitation  
Vocational Rehabilitation (VR) Program**

**Section F  
CASELOAD GRAPHS**

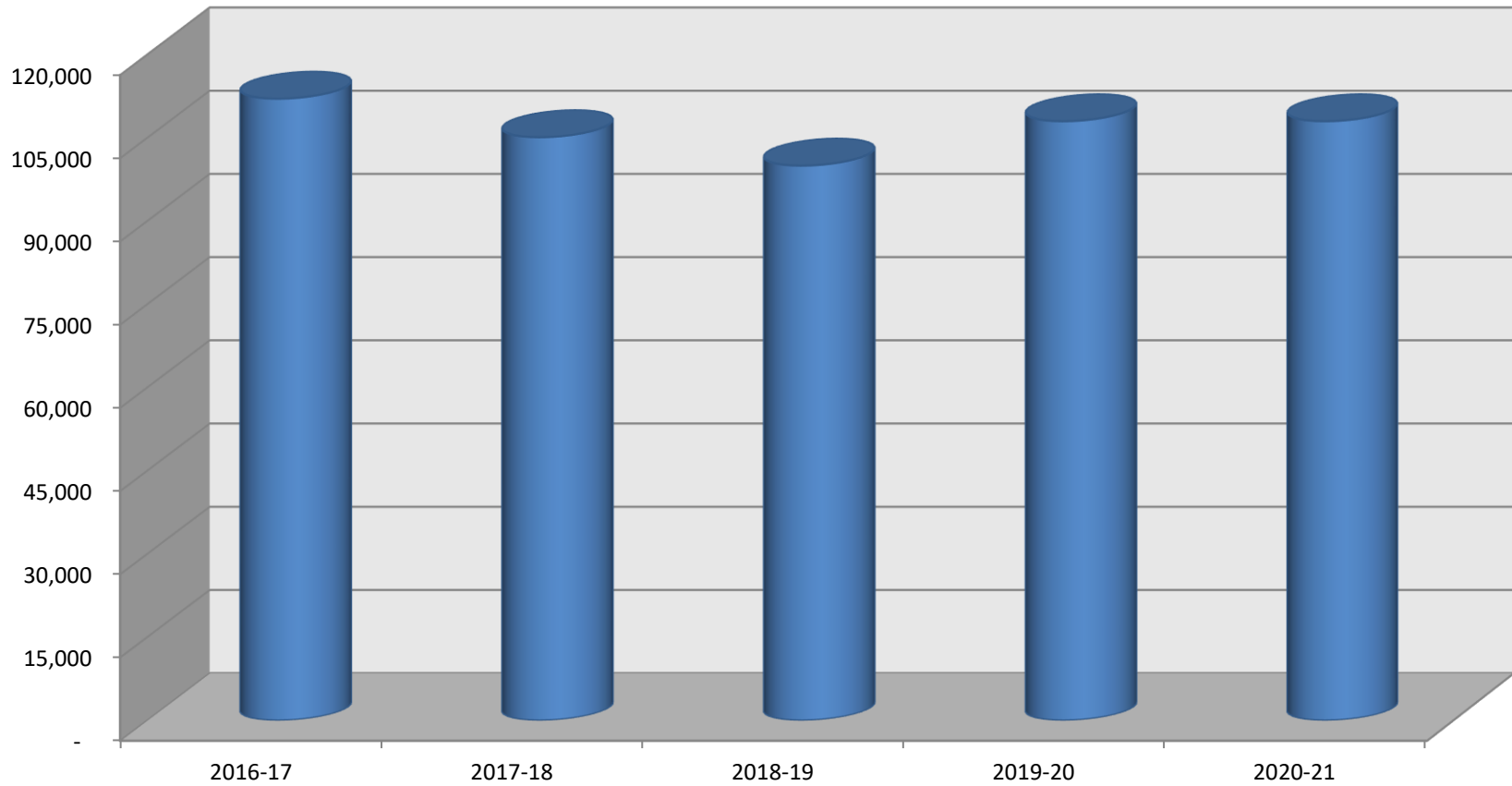
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Graph 1. VR Program, Total Cases Served

Graph 2. VR Base Programs and Cooperative Contracts,  
Total Cases Served

**F. Caseload  
Graph 1**

**VR Program Total Cases Served  
2016-17 through 2020-21**



**F. Caseload  
Graph 2**

**VR Base Programs (Base) and Cooperative Contracts (COOP)  
Total Cases Served 2016-17 through 2020-21**

